

SPT SELF ASSESSMENT TOOL						
1. For NPM members						
OUR REF	SPT REF	NPM SELF ASSESSMENT STATEMENT	HMIP RAG STATUS 2013-14	HMIP ADDITIONAL COMMENTS 2013-14	HMIP RAG STATUS 2014-15	HMIP ADDITIONAL COMMENTS 2014-15
<b>INTRODUCTION</b>						
1.1	I.1	The NPM member conducts regular visits to places of detention in order to prevent torture and ill-treatment, and to strengthen the protection of persons deprived of their liberty	Fully compliant		Fully compliant	Regularity of police inspections will be reviewed.
1.2	I.1	The NPM member makes recommendations to the relevant authorities with the aim of improving the treatment and conditions of persons deprived of their liberty and to prevent torture and ill-treatment	Fully compliant		Fully compliant	
1.3	I.1	The NPM member makes proposals and observations concerning existing and draft legislation	Fully compliant	There is probably more that we could do with more resources	Fully compliant	
1.4	I.2	The NPM member's functional independence is guaranteed	Partially compliant	Chief Inspector reports to MoJ (which has operational responsibility for places we inspect). Funding also comes from MoJ.	Partially compliant	As with last year, CI still reports to and receives funding from MoJ. The Justice Committee and the Public Administration Select Committee have recently called for the CI to report directly to Parliament to strengthen independence.
1.5	I.2	The NPM member has the necessary resources to carry out its functions in accordance with the requirements of OPCAT	Fully compliant		Fully compliant	As with other public bodies, HMIP's budget has been cut
1.6	I.2	Relevant authorities examine the NPM members' recommendations and enter into dialogue about their implementation	Fully compliant		Fully compliant	There is still scope to improve this and make the process more transparent
1.7	(G) II.B.30	The NPM member carries out all aspects of its work in a manner which avoids actual or perceived conflicts of interest	Partially compliant	HMIP does have some secondees from inspected institutions, though we are reducing this.	Fully compliant	HMIP has 7 secondees inspectors (1 NHS and 6 NOMS). This is a reduction from 9 secondees last year. HMCIP's potential conflict of interest with Met Police addressed by his not being involved in inspection.
1.8	(G) II.B.30	-this includes ensuring NPM members do not hold or acquire positions which raise questions of conflicts of interest	Partially compliant	ditto	Fully compliant	See above
<b>DEVELOPMENT STRATEGY OF THE NPM</b>						
1.9	II.4	The NPM member organises its human and financial resources to ensure all aspects of its NPM role are fulfilled	Fully compliant		Fully compliant	
1.10	II.5	The NPM member monitors and analyses its activities and outcomes in order to learn lessons and develop practices	Fully compliant		Fully compliant	
1.11	II.7	Staff receive appropriate training in order to carry out their role within the NPM effectively	Partially compliant	More could be done amongst our own staff to raise awareness	Partially compliant	Some progress made through presentations to Development Days and incorporating NPM into staff induction but there is still more to be done. HMIP has committed to improving staff training in 2015-16 Corporate Plan. NAO report recommended common training across inspectorates - developing a 'common skills for inspection' training with NPM component (Cabinet Office?) would be worthwhile.
<b>INTERNAL ORGANISATION</b>						
1.12	III.8	The NPM member has appropriate internal policies and procedures to fulfil its mandate (these may address the following: employment and dismissal of staff; decision making; organisation of the office; its work and budgets; visits to places of detention and report-drafting)	Fully compliant		Fully compliant	An MoU to strengthen arrangements for joint police custody is underway.
<b>IMPLEMENTATION OF ACTIVITIES</b>						
<i>For the purposes of planning its activities, the NPM member:</i>						
1.13	IV.A.9	-has an inventory for all places of detention within its remit	Fully compliant		Fully compliant	
1.14	IV.A.9	-has an archive of all relevant and available information about places of detention within its remit and the treatment of persons held there	Fully compliant		Fully compliant	Work to strengthen information sharing for police custody will be included in MoU.
1.15	IV.A.10	-has criteria for the selection of places to be visited that ensure all places of detention are visited regularly, taking into account the type and size of institutions and the level of the known human rights problem	Fully compliant		Fully compliant	Improved risk monitoring leading to prioritising 'risk' inspections
1.16	IV.A.11	-the composition of its visiting team brings the necessary knowledge, experience and skills	Fully compliant		Fully compliant	

1.17	IV.A.11	- the visiting team is gender-balanced and has adequate representation of ethnic and minority groups	Partially compliant	We could have better representation of ethnic minorities and in some teams there is not an equal gender balance.	Partially compliant	Work to re-establish Diversity Committee with specific focus on internal diversity has begun
1.18	IV.A.11	-the visiting team has the necessary human resources and time needed to carry out its tasks	Fully compliant	If inspectors had more time in establishments they could put it to good use, but we are confident that we can achieve our preventive role in the time we currently allocate.	Fully compliant	
1.19	IV.A.12	-has a strategy for prioritising legislation to be commented on	Partially compliant	We are attempting to become more strategic in prioritising legislation to be commented on.	Fully compliant	We have a clear method for monitoring legislation and strategically prioritising which legislation we will comment on. Our strategy is responsive.
<b>The NPM member's visit methodology:</b>						
1.20	IV.B.13	-includes guidelines for visits to places of detention, to include: conducting interviews in private; dealing with vulnerable groups; ensuring information from all available sources is collected	Fully compliant		Fully compliant	Guidelines for police custody joint inspections will be developed.
1.21	IV.B.14	-ensures all detention facilities within an institution are visited	Fully compliant		Fully compliant	
1.22	IV.B.14	-assesses registers, case records, activities and services	Fully compliant		Fully compliant	
1.23	IV.B.15	-ensures cross-checking and assessment of observations	Fully compliant		Fully compliant	
1.24	IV.B.15	-ensures systematisation of data reflecting serious and generic problems	Fully compliant		Fully compliant	Work to strengthen this in joint police custody inspections is envisaged.
1.25	IV.B.16	-includes an immediate end-of-visit debriefing with authorities	Fully compliant		Fully compliant	
1.26	IV.B.18	-includes clear guidelines for reporting individual cases of deliberate ill-treatment (to include requesting inquiries, maintaining the confidentiality of the victim, and protecting such persons from reprisals)	Partially compliant	In practice we do report cases, but perhaps could do more to guide inspectors in how to do so.	Partially compliant	Progress on sanctions policy and work on child protection, but still more work to do in other areas.
1.27	IV.B.17	The NPM member considers developing a code of conduct for its visiting teams (to include addressing detainees and staff, how and when to conduct individual or group interviews, handling security issues, ensuring confidentiality, managing internal debriefings)	Fully compliant		Fully compliant	
<b>The NPM member's visit reports:</b>						
1.28	IV.C.19	-focus on the most important issues	Fully compliant		Fully compliant	
1.29	IV.C.19	-note and analyse good practice	Fully compliant		Fully compliant	Further discussions underway about sharing good practice, including in follow-up to NAO recommendations
1.30	IV.C.19	-analyse cases of deliberate ill-treatment to identify gaps in the protection of persons deprived of their liberty	Fully compliant		Fully compliant	
1.31	IV.C.20	-contain recommendations that are well-founded, have a preventive focus and are feasible in practice	Partially compliant	Efforts are being made to improve coherence across teams, but more streamlining would be beneficial.	Partially compliant	Further work to improve recommendations, including SMART and timeframes, is envisaged
1.32	IV.C.21	The NPM member has a strategy for submission, publication, dissemination of visit reports	Fully compliant		Fully compliant	
<b>To follow up on its recommendations, the NPM member:</b>						
1.33	IV.D.22	-maintains dialogue with government authorities and inspected institutions regarding the implementation of recommendations, including written and oral exchanges	Partially compliant	There is considerable dialogue during the inspection process and with relevant civil servants, but it would be helpful to have a statutory requirement that inspected institutions respond to our recommendations.	Partially compliant	Still no statutory requirement, but there is an agreement with NOMS to publish action plans on HMIP's website from 1 April 2015, and similar request made to Home Office. More work is needed in this area, particularly following comments on this in the NAO's recent report.
1.34	IV.D.22	-publishes annual reports, which include the outcome of dialogue with authorities	Fully compliant		Fully compliant	
1.35	IV.D.24	-verifies the implementation of recommendations regularly through follow-up visits to non-performing institutions	Fully compliant		Fully compliant	
<b>With a view to preventing reprisals, the NPM member:</b>						
1.36	IV.E.25	-has developed a strategy for the prevention of reprisals or threats against people interviewed and providing information during visits	Fully compliant		Fully compliant	We have built on this in 2014-15 with the development of a policy for police custody settings and by raising awareness of the existing prisons strategy amongst staff.

1.37	IV.E.25	-has a policy setting out the types of information that can be collected in group interviews and the types of information that should only be collected in private interviews (because it might put an individual at risk)	Fully compliant		Fully compliant	
1.38	IV.E.25	-follows up and monitors cases of particular concern	Fully compliant		Fully compliant	
1.39	IV.E.25	-seeks and facilitates the intervention of other actors as part of the strategy	Fully compliant		Fully compliant	
1.40	IV.E.25	-acts upon information received from others which gives rise to concerns about possible reprisals	Fully compliant		Fully compliant	
1.41	IV.E.25	-with the consent of the detainees concerned, brings cases of particular individuals at risk of reprisals to relevant authorities	Fully compliant		Fully compliant	
1.42	IV.E.25	-seeks to ensure that a disciplinary or criminal investigation is initiated in cases of alleged reprisals	Fully compliant	We would speak with relevant authorities who would have responsibility for following up (this would most likely lead to disciplinary rather than criminal action)	Fully compliant	
<b>CROSSCUTTING ISSUES</b>						
<i>The NPM member has established:</i>						
1.43	V.A.30	-lines of communication with relevant ministries and those responsible for the administration and management of the places of detention within its remit	Fully compliant		Fully compliant	
1.44	V.A.31	-a mechanism for communicating and cooperating with relevant authorities on the implementation of recommendations	Partially compliant	See above	Partially compliant	See above regarding recommendations
1.45	V.A.31	-this mechanism includes procedures for taking urgent action	Fully compliant	Urgent action would be taken if warranted, these decisions are made on a case by case basis.	Fully compliant	
1.46	V.A.31	-a means for addressing and resolving any operational difficulties encountered during the exercise of its visits	Fully compliant		Fully compliant	
1.47	V.A.31	-a policy for publishing reports and findings	Fully compliant		Fully compliant	
1.48	V.A.31	-a policy regarding the production and publication of thematic reports	Fully compliant		Fully compliant	
1.49	V.A.32	-a strategy for cooperation on torture prevention with other national actors	Fully compliant	We have various MoUs in place with other partner orgs.	Fully compliant	We have extended our partnerships and have developed new MoUs with further partner orgs.
1.50	V.A.32	-this strategy includes cooperation on follow-up of cases of suspected or documented torture or ill-treatment	Partially compliant	We could do more to work with partner organisations (including NGOs) on this.	Partially compliant	No progress has been made - in particular, more work is needed to clarify the process for following up cases
1.51	V.A.33	-a strategy for making its work and mandate known	Fully compliant	We publish all of our reports	Fully compliant	
1.52	V.A.33	-a simple and accessible procedure to provide information to the general public	Partially compliant	This is mainly done through our website, twitter and publication of reports. However, we could probably do more in making what we publish accessible.	Fully compliant	New website launched and positive feedback received through stakeholder survey.
<i>The NPM member has appropriate systems in place:</i>						
1.53	V.B.34	-to categorise, file and process information gathered from its visits to places of detention	Fully compliant		Fully compliant	
1.54	V.B.34	-to categorise, file and process its recommendations and responses to them	Partially compliant		Partially compliant	
1.55	V.B.34	-that use information gathered during visits to support ongoing work planning and strategy development	Partially compliant		Fully compliant	Improved risk monitoring
1.56	(G) III.B.37	The NPM member ensures that any confidential information acquired in the course of its work is fully protected	Fully compliant		Fully compliant	
1.57	V.C.35	The NPM member advocates for the provision of resources necessary to exercise its mandate effectively	Fully compliant		Fully compliant	HMI Prisons has raised in submissions to PASC and others in relation to NPM requirements
1.58	V.C.36	The prioritisation of resources to the most important problem issues and institutions does not lead to any particular form of institution or geographical area being disregarded from the NPM member's work	Fully compliant		Fully compliant	
1.59	V.D.37	The NPM member has a strategy for ongoing training and development of its working methods	Fully compliant		Fully compliant	

<b>Key:</b>
Not currently compliant
Partially compliant
Fully compliant

All SPT references are to the self-assessment tool ([http://www2.ohchr.org/english/bodies/cat/opcat/docs/AnalyticalToolsNP\\_M\\_en.doc](http://www2.ohchr.org/english/bodies/cat/opcat/docs/AnalyticalToolsNP_M_en.doc)) unless preceded by "G" to indicate reference to the SPT Guidelines for NPMs (<http://www2.ohchr.org/english/bodies/cat/opcat>)